

**REDACTED DOCUMENTS  
RELATED TO DOCKET 7952**

**7952 - Plaintiffs' Response in Opposition to Defendants'  
Motion for Summary Judgment as to Plaintiffs Lisa and  
Mark Hyde's Claims - Filed Redacted**

Filed Under Seal

Ramon Rossi Lopez - rlopez@lopezmchugh.com  
(California Bar Number 86361; admitted *pro hac vice*)  
Lopez McHugh LLP  
100 Bayview Circle, Suite 5600  
Newport Beach, California 92660  
949-812-5771

Mark Stephen O'Connor (AZ Bar No. 011029)  
mark.oconnor@gknet.com  
Gallagher & Kennedy, P.A.  
2575 East Camelback Road  
Phoenix, Arizona 85016-9225  
602-530-8000

*Co-Lead/Liaison Counsel for Plaintiffs*

IN THE UNITED STATES DISTRICT COURT

FOR THE DISTRICT OF ARIZONA

In Re Bard IVC Filters Products  
Liability Litigation

No. MD-15-02641-PHX-DGC

**PLAINTIFFS' RESPONSE IN  
OPPOSITION TO DEFENDANTS'  
MOTION FOR SUMMARY  
JUDGMENT AS TO PLAINTIFFS  
LISA AND MARK HYDE'S CLAIMS**

(Assigned to the Honorable David G.  
Campbell)

**MEMORANDUM OF POINTS AND AUTHORITIES**

**I. INTRODUCTION AND SUMMARY OF ARGUMENT**

On [REDACTED] a Bard G2®X filter was implanted in Plaintiff Lisa Hyde.<sup>1</sup> The

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<sup>1</sup> As noted in Bard's motion, Plaintiffs and Defendant disagree as to which Bard IVC filter model Ms. Hyde was implanted with. Plaintiffs assert that, more likely than not, the filter at issue here is a G2X and provide ample evidence supporting this contention.

1 filter was defective and as such, after placement the filter [REDACTED]  
 2 [REDACTED]  
 3 [REDACTED]  
 4 [REDACTED]

5 Bard moved for partial summary judgment under Federal Rule of Civil Procedure  
 6 56. The motion was also brought under Wisconsin substantive law on the following  
 7 counts alleged by Plaintiffs: Strict liability design defect (Count III); Strict liability  
 8 failure-to-warn (Count II); Negligent failure-to-warn (Count VII); Breach of implied  
 9 warranty (Count XI); Negligent ad fraudulent misrepresentation/concealment (Counts  
 10 VIII, XII, XIII) and claim for Violation of Wisconsin Law (Count XIV); and Failure to  
 11 recall/retrofit (Count VI).<sup>2</sup>

12 Plaintiffs oppose Bard's motion. Plaintiffs have provided abundant evidence  
 13 supporting each of their claims in this action and, consequently, there exist genuine  
 14 disputes to multiple material facts and summary judgment is inappropriate.

## 15 II. SUMMARY JUDGMENT STANDARD

16 Summary judgment is appropriate "if the movant shows that there is no genuine  
 17 dispute as to any material fact and the movant is entitled to judgment as a matter of law."  
 18 Fed. R. Civ. P. 56(a). The movant also "bears the initial responsibility of informing the  
 19 district court of the basis for its motion, and identifying those portions of [the record]  
 20 which it believes demonstrate the absence of a genuine issue of material fact." *Celotex*  
 21 *Corp. v. Catrett*, 477 U.S. 317, 323 (1986). Disputed facts that might "affect the outcome  
 22 of the suit will preclude the entry of summary judgment, and the disputed evidence must  
 23 be 'such that a reasonable jury could return a verdict for the nonmoving party.'"

24 [SOF ¶¶ 150, 153, 162, 163]. Regardless, Bard notes that the filter type has no bearing  
 25 on its Motion for Summary Judgment. [Def's. Mot. for Summ. J. n.2]. It is worth noting  
 26 that the Eclipse filter did nothing to address the design defects of the G2 and G2X, so the  
 difference between the models is unimportant. [SOF ¶ 102.]

27 <sup>2</sup> Plaintiffs do not oppose Bard's motion as to the count for Breach of implied warranty  
 28 (Count XI) and Failure to recall/retrofit (Count VI), but reserve the right to keep these  
 factual claims under other existing causes of action.

1 *Placencia v. I-Flow*, 2012 WL 5877624 (D. Ariz. 2012)(quoting *Anderson v. Liberty*  
 2 *Lobby, Inc.*, 477 U.S. 242, 248 (1986)). The Court must “draw[] from the underlying  
 3 facts” any permissible inference “in the light most favorable to the nonmoving party.”  
 4 *Matsushita Elec. Indus. Co. v. Zenith Radio Corp.*, 475 U.S. 574, 587-88, 106 S. Ct.  
 5 1348, 89 L. ED. 2d 538 (1986).

### 6 **III. ARGUMENT AND CITATION OF AUTHORITY**

#### 7 **A. Choice of Law**

8 The parties have stipulated and agreed that Wisconsin choice-of-law rules apply to  
 9 this case. Under Wisconsin’s choice-of-law rules, Nevada substantive law should  
 10 ultimately apply to the *Hyde* case.

11 Factually, Ms. Hyde had her filter implanted in Wisconsin. [SOF ¶ 151]. Shortly  
 12 thereafter, Ms. Hyde moved to Nevada. [SOF ¶ 156]. Ms. Hyde has continued to live in  
 13 Nevada. [SOF ¶ 7]. She [REDACTED] in  
 14 Nevada. [SOF ¶¶ 156-60]. The [REDACTED] was conducted in California.  
 15 [SOF ¶ 161]. Most importantly, Nevada is where the filter malfunctioned and caused  
 16 injury to Ms. Hyde. [SOF ¶ 156]. Under Wisconsin’s choice-of-law rules, these facts  
 17 indicate that Nevada law should apply to *Hyde*.

18 In Wisconsin before 2012, cases applied two similar choice-of-law methods: the  
 19 grouping-of-contacts analysis and the choice-influencing-factor analysis. In *NCR Corp. v.*  
 20 *Transport Ins. Co.*, 344 Wis.2d 494 (2012), the Wisconsin Court of Appeals reconciled  
 21 these two methods. The court explained that “the grouping-of-contacts analysis is  
 22 subsumed by the choice-influencing-factors analysis. Specifically, the grouping-of-  
 23 contacts analysis is merely step one of the choice-influencing-factors analysis.” *NCR*  
 24 *Corp.*, 344 Wis. 2d 494 at ¶ 11.

25 The choice-influencing-factor analysis consists of two steps. First, the Court must  
 26 “judge whether the contacts of one state to the facts of the case are so obviously limited  
 27 and minimal that application of that state’s law constitutes officious intermeddling.”  
 28 *Beloit Liquidating Trust v. Jeffrey T. Grade, et al.*, 270 Wis. 2d 356, ¶ 24 (2004)

(quotation mark omitted). There is a *weak* presumption in favor of applying the forum law. *NCR Corp.*, 344 Wis. 2d at ¶ 12.

The grouping-of-contacts analysis is nearly identical to the first step of the choice-influencing-factor analysis. Courts should consider: (a) the place of contracting; (b) the place of negotiation of the contract; (c) the place of performance; (d) the location of the subject matter of the contract; and (e) the domicile, residence, nationality, place of incorporation and place of business of the parties. *Haines v. Mid-Century Ins. Co.*, 47 Wis. 2d 442, 446, 177 N.W. 2d 328 (1970) (citing RESTATEMENT (SECOND) OF CONFLICTS § 188 (Proposed Official Draft, Part II)). **Where tort law is implicated, we additionally consider the locations of the injurious conduct and injury.** *NCR Corp.*, 344 Wis. 2d 344 at ¶ 13 (citing to *Drinkwater v. American Family Mutual Insurance Co.*, 290 Wis.2d 642, ¶ 44 (2006)) (emphasis added.); *Beloit Liquidating*, 270 Wis.2d at ¶ 24; see also RESTATEMENT (SECOND) OF CONFLICTS § 145 (1971). If one state's contacts are clearly more significant, the Court may terminate the analysis and apply that state's law. *Drinkwater*, 290 Wis.2d 642, ¶ 40.

Here, the place of contracting, the place of negotiation, and the location of the subject matter of the contract carry no weight in choosing Wisconsin or Nevada law. There is no contract at issue here and Ms. Hyde did not purchase the filter herself. In *NCR Corp.*, the Court reached similar conclusions based on NCR Corp not being a party to the contract at issue. See *NCR Corp.*, 344 Wis. 2d 344 at ¶¶16-19. Without a contract to analyze, the place of performance factor also fails to favor Wisconsin or Nevada law. It could be said that as the location of implantation, Wisconsin is the place of “performance.” However, this factor seems to indicate the presence of a contract, of which there is none between Ms. Hyde and Bard. Ms. Hyde did not have a contract nor was she party to a contract to purchase her filter directly from Bard. In fact, billing records indicate that Ms. Hyde bought the filter from [REDACTED] the hospital where she received the filter. [SOF ¶ 150] As such, these four factors fail to point conclusively to Nevada or Wisconsin as the proper forum.

1 That leaves the fifth factor, domicile and residence. Currently and at the time of  
 2 injury, Ms. Hyde was domiciled in the state of Nevada. Bard, on the other hand, conducts  
 3 business equally among all 50 states. As such, this fifth fact points toward Nevada as the  
 4 more significant forum.

5 Since tort law is implicated in the *Hyde* case, the Court should consider and give  
 6 great weight to the location of injurious conduct and injury. Here, the filter malfunction,  
 7 injury, and treatment occurred in Nevada. Historically, Wisconsin Courts have  
 8 consistently held the location of injury to be the most important factor in weighing state  
 9 contacts. *See, e.g., NCR Corp.*, 344 Wis. 2d at ¶ 21 (holding that injurious conduct and  
 10 injury are “qualitatively stronger than any of the other[.]” factors); *See generally,*  
 11 *Drinkwater*, 290 Wis.2d 642 (ultimately holding that Wisconsin law applied as the injury  
 12 and accident occurred in Wisconsin, among other reasons); *See also, Johnson v. Mylan*  
 13 *Inc.*, 107 F.Supp. 3d 967, 970 (E.D. Wis. 2015) (applying the grouping-of-contacts  
 14 analysis and finding the case had the most significant relationship with Wisconsin, as the  
 15 illness, treatment, and death occurred there and thus, Wisconsin substantive law should  
 16 apply).

17 Nationally, when conducting a choice-of-law analysis and comparing the  
 18 significance of contacts between states, Courts have repeatedly held there is a  
 19 presumption in favor of using the laws of the state in which the injury occurred, including  
 20 for products liability actions.<sup>3</sup>

21 Though Ms. Hyde’s filter was implanted in Wisconsin, Nevada is the current  
 22 domicile of Ms. Hyde and most importantly, the location of the filter malfunction, injury,  
 23

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24 <sup>3</sup> *See, e.g., Peoples Bank and Trust Co. v. Piper Aircraft Corp.*, 598 F. Supp. 377 (S.D.  
 25 Fla. 1984) (applying Florida choice-of-law rules); *Millar-Mintz v. Abbott Laboratories*,  
 26 268 Ill. App. 3d 566, 206 Ill. Dec. 273, 645 N.E.2d 278 (1st Dist. 1994); *Beasock v.*  
 27 *Dioguardi Enterprises, Inc.*, 100 A.D.2d 50, 472 N.Y.S.2d 798 (4th Dep’t 1984); *Morgan*  
 28 *v. Biro Mfg. Co., Inc.*, 15 Ohio St. 3d 339, 474 N.E.2d 286 (1984); *Byers v. Lincoln Elec.*  
*Co.*, 607 F. Supp. 2d 840 (N.D. Ohio 2009) (Ohio choice-of-law rules); *Ruiz v. Weiler &*  
*Co., Inc.*, 860 F. Supp. 602 (N.D. Ill. 1994), *aff’d*, 89 F.3d 320, *Prod. Liab. Rep. (CCH)* ¶  
 14668, 35 Fed. R. Serv. 3d 1053 (7th Cir. 1996).

1 and subsequent treatment. Given these facts, the first step of the choice-influencing-  
2 factors analysis and the grouping-of-contacts analysis overwhelmingly favors the  
3 application of Nevada substantive law to the *Hyde* case.

4 As the place of injury and current domicile, Nevada has such significant contacts  
5 and Wisconsin's contacts are "so obviously limited and minimal that application of that  
6 state's law constitutes officious intermeddling," there is no need to proceed to the second  
7 step of the choice-influencing-factor analysis. Courts should proceed to the factors only  
8 after concluding that the grouping-of-contacts analysis did not produce a clear favorite.  
9 *See NCR Corp.*, 344 Wis. 2d 344 at ¶¶ 22-23 (holding that Ohio's respective contacts are  
10 so obviously limited and minimal...that the court need not proceed to step two); *see also*,  
11 *Heath v. Zellmer*, 35 Wis. 2d 578, 595 (1967); *Drinkwater*, 290 Wis. 2d 642 at ¶ 40.

12 However, assuming *arguendo*, that the Court does proceed to the second step of  
13 the choice-influencing-factors analysis, it would be further confirmed that Nevada  
14 substantive law should apply to *Hyde*. This second prong involves weighing the  
15 following five factors: (1) predictability of results; (2) maintenance of interstate and  
16 international order; (3) simplification of the judicial task; (4) advancement of the forums  
17 governmental interests; and (5) application of the better rule of law. *NCR Corp.*, 344 Wis.  
18 2d 494 at ¶ 14.

19 The first factor favors neither Wisconsin nor Nevada. As the court in *Beloit*  
20 explained, this factor looks into where the parties predominantly conducted business.  
21 Here, Bard operates in all 50 states, without favor to Wisconsin or Nevada. Ms. Hyde, as  
22 an individual, seemingly does not "conduct" business anywhere. Thus, the first factor  
23 fails to give weight to Nevada or Wisconsin.

24 Maintenance of interstate order, the second factor, would be accomplished by  
25 application of Nevada law. In *Heath v. Zellmer*, 35 Wis. 2d 578 (1967), the Wisconsin  
26 court explained that "deference to the substantial interests of another state are necessary  
27 and for a state that is only minimally concerned with a transaction or tort to thrust its law  
28 upon the parties would be disruptive of the comity between states." *Heath*, 35 Wis. 26 at



1 596. Ms. Hyde is currently a resident of Nevada. Nevada has a strong interest in  
2 applying its own state law to a case where one of its residents was injured in Nevada and  
3 continues to reside in Nevada. It makes little sense to “thrust” Wisconsin law when Ms.  
4 Hyde has not lived there for over 5 years and had no injuries as a result of her filter when  
5 she did reside there.

6 The third factor indicates a preference for a “simple and easily applied rule of  
7 substantive or procedural law.” *Beloit Liquidating Trust*, 270 Wis. 2d 356 at ¶ 28.  
8 Typically, this factor favors applying the law of the state where the deciding court sits.  
9 However, this analysis is not possible here as we have an Arizona Court deciding  
10 between Wisconsin and Nevada law. However, it is worth noting that Nevada and  
11 Arizona are both within the Ninth Circuit’s jurisdiction. As such, this factor slightly  
12 favors applying Nevada law.

13 The fourth factor heavily weighs in favor of Nevada. With respect to Bard itself,  
14 Nevada and Wisconsin have equal interest in regulating a corporation that marketed, sold,  
15 and distributed a defective product within their borders. However, Nevada has an  
16 extremely strong governmental interest in protecting a resident who was injured in state.

17 The fifth and final factor favors Nevada as well. The fifth factor requires courts to  
18 “select the law[s] that most adequately do[] justice to the parties and have the greatest  
19 likelihood of being applicable with justness in the future.” *Beloit Liquidating Trust*, 270  
20 Wis. 2d 356 at ¶ 31 citing to *Heath*, 35 Wis. 2d at 598. As previously stated, justice  
21 would indicate applying the law of the state where Ms. Hyde currently resides and was  
22 injured.

23 In sum, the first step of Wisconsin’s choice-of-law rule heavily favors Nevada law  
24 applying to *Hyde*. The analysis can and should stop there, as Wisconsin’s contacts are so  
25 minimal that it would be unreasonable to apply Wisconsin law to this case. However, if  
26 the Court continues on to the second step, Nevada continues to be favored. One cannot  
27 ignore the overwhelming weight that should be given to Nevada as the current residence  
28



1 and domicile of Ms. Hyde and as the location of filter malfunction, injury, and treatment.  
 2 As such, this Court should choose Nevada substantive law to apply to the *Hyde* case.<sup>4</sup>  
 3

4 **B. There Is Sufficient Evidence To Support Plaintiffs’ Claim for Strict Liability**  
 5 **Failure to Warn (Count II)**

6 Wisconsin Statute § 895.047 governs all strict products liability claims in  
 7 Wisconsin. For failure to warn cases, the statute states that “[a] product is defective  
 8 because of inadequate instructions or warnings only if the foreseeable risks of harm  
 9 posed by the product could have been reduced or avoided by the provision of reasonable  
 10 instructions or warnings by the manufacturer and the omission of the instructions or  
 11 warnings renders the product not reasonably safe.” Wis. Stat. § 895.047(1)(a). A plaintiff  
 12 must also prove that the defective condition rendered the product unreasonably  
 13 dangerous, that the defective condition existed at the time the product left the control of  
 14 the manufacturer, that the product reached the user or consumer without substantial  
 15 change in the condition in which it was sold, and that the defective condition caused the  
 16 claimant’s damages. Wis. Stat. § 895.047(1)(b–e).

17 The statute provides two defenses on which Bard bases its motion for summary  
 18 judgment against Plaintiffs’ strict liability failure to warn claims: (1) the **rebuttable**  
 19 presumption that the product is not defective (also known as the “government rules  
 20 defense”) and (2) that a court should dismiss an action if the damage was caused by an  
 21 inherent characteristic of the product that would be recognized by an ordinary person  
 22 with ordinary knowledge common to the community that uses or consumes the product.  
 23 Bard also argues that Plaintiffs’ strict liability failure-to-warn claim fails because  
 24 Plaintiffs have not proffered an alternative warning that would have made the Bard filter  
 25 “safe.”

26 i. The Rebuttable Presumption (“Government Rules Defense”)

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27 <sup>4</sup> Although Nevada substantive law should be applied to this case, Bard only moved for  
 28 judgment under Wisconsin law, so Plaintiffs respond by applying Wisconsin law.  
 However, Plaintiffs’ claims also survive under Nevada law.

1 With regard to the government rules defense, cases applying Wisconsin law have  
 2 found that the when assessing the application of a government standards rebuttal, “parties  
 3 **may not present evidence regarding the 510(k) clearance process** or subsequent FDA  
 4 enforcement actions” because “[t]he 510(k) process is not a safety statute or  
 5 administrative regulation.” *Williams v. Boston Sci. Corp.*, No. 2:12-CV-02052, 2016 WL  
 6 1448860, at \*3 (S.D.W. Va. Apr. 12, 2016) (applying Wisconsin law), citing to *Lewis v.*  
 7 *Johnson & Johnson*, 991 F. Supp. 2d 748, 755-56 (S.D. W. Va. 2014)(emphasis added);  
 8 *See also Hall v. Boston Scientific Corp.*, No. 2:12-CV-08186, 2015 WL 874888, at \*2  
 9 (S.D. W. Va. Feb. 27, 2015) (applying Wisconsin law) (“As an initial matter, 510(k) is  
 10 not a ‘relevant standard’ here. Section 895.047 concerns whether a defect rendered the  
 11 product ‘unreasonably dangerous,’ § 895-.047(1), and, as the [US] Supreme Court has  
 12 held<sup>5</sup>, 510(k) compliance does not go to the safety of a product.”)

13 As such, Bard should not be awarded the presumption of the Wisconsin  
 14 government rules defense when its devices were never approved via a safety and  
 15 effectiveness process, standard, condition, or specifications adopted or approved by a  
 16 federal or state law or agency. [See also, Plaintiffs’ Response in Opposition to  
 17 Defendants Motion for Summary Judgment Regarding Preemption, Docket #7369].

18 Moreover, even if the Court were to find that the presumption applies, Plaintiffs  
 19 have presented ample rebuttal evidence to rebut the presumption and show that the  
 20 G2/G2X filter did in fact have design defects, as proffered, *infra*, in Section C and had a  
 21 defective, wholly inadequate warning, as argued, *infra*, in Section D(1).  
 22  
 23  
 24

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25  
 26 <sup>5</sup> The 510(k) process is not a safety statute or administrative regulation. The Supreme  
 27 Court has determined that “the 510(k) process is focused on equivalence, not safety.”  
 28 *Medtronic, Inc. v. Lohr*, 518 U.S. 470, 493 (1996); *See also Riegel v. Medtronic, Inc.*,  
 552 U.S. 312, 323 (2008) (“While § 510(k) is focused on equivalence, not safety,  
 premarket approval is focused on safety, not equivalence.”) (internal quotation omitted).

1           ii.     Damages Caused by Known, Inherent Characteristics of the Product  
 2                 Defense

3           Bard also argues that Wisconsin law shields it from liability as the damage was  
 4           caused by a known and inherent characteristic of the product and that Plaintiffs have not  
 5           offered any evidence of an alternative warning. While generally, IVC filters and all  
 6           medical devices do have adverse events, Bard's G2/G2X filter experienced events at a  
 7           much higher rate than Bard's Simon Nitinol® ("SNF") or Recovery® and other  
 8           competitive filters, and those increased risks were admittedly due to design issues (See  
 9           Section C, *infra*).

10          Bard's own internal complaint tracking data indicates that from market release  
 11          through July 2010, the G2 Filter had a reported migration failure rate of 0.121% (1.21 out  
 12          of every 1000) of all devices sold, and applying the "consensus" of 95-99% of similar  
 13          events not reported, the actual rate could have been as high as 121 out of every 1000  
 14          (12.1%). This figure was approximately fifteen times greater than the average for all  
 15          competitor devices of .008% (or .8% if applying the consensus non-reported events rate).  
 16          [SOF ¶ 89]. Bard's own internal complaint tracking data indicates that from market  
 17          release through July 2010, the G2/G2X had a reported perforation failure rate of 0.132%  
 18          (1.3 out of every 1000), and applying the "consensus" of 95-99% of similar events not  
 19          reported, the actual rate could have been as high as 130 out of every 1000 (13%) . [SOF ¶  
 20          89]. With regard to migration, internal complaint data indicates that from market release  
 21          through July 2010, the G2 Filter had a reported migration failure rate of 0.121% (1.21 out  
 22          of every 1000) of all devices sold, and applying the "consensus" of 95-99% of similar  
 23          events not reported, the actual rate could have been as high as 121 out of every 1000  
 24          (12.1%). [SOF ¶ 89].

25          In contrast, Bard found that the reported perforation and migration failure rates for  
 26          all competitor devices during the same time period was 0.013% (1.3 out of every 10,000)  
 27          and 0.008% (8 out of every 100,000) of devices sold, and applying the "consensus" of  
 28          95-99% of similar events not reported, the actual rate could have been as high as 13 and

1 0.8 out of every 1000 (1.3%), respectively. [SOF ¶ 89]. Accordingly the G2 filter, based  
 2 on this clinical data posed an increased risk 10 times (perforation) and 15 times  
 3 (migration) greater than all competitor devices.

4 John McDermott, President of Bard Peripheral Vascular from 1999-2008, closely  
 5 monitored the reporting rates of complications of Recovery and G2 to the predicate SNF  
 6 and competitive filters on a monthly basis. [SOF ¶ 125].

7 Given this increased risk presented specifically by the G2 family of devices, it  
 8 simply cannot be said that Ms. Hyde was injured by known and inherent characteristic of  
 9 the product.

### 11 **C. There Is Sufficient Evidence to Support Plaintiffs' Strict Liability Design 12 Defect Claim (Count III)**

13 Wisconsin's statute for product liability claims holds a manufacturer strictly liable  
 14 for design defect where (1) the product contains a design defect; (2) the defective  
 15 condition rendered the product "unreasonably dangerous"; (3) the defective condition  
 16 existed at the time the product left the manufacturer's control; (4) the product reached the  
 17 user without substantial changes<sup>6</sup>; and (5) the defective condition caused the plaintiff's  
 18 damages. Wis. Stat. § 895.047(1). As stated above, evidence that the product, at the time  
 19 of sale, complied in material respects with relevant standards, conditions, or  
 20 specifications adopted or approved by a federal or state law or agency creates a rebuttable  
 21 presumption that the product is not defective.<sup>7</sup> *Id.*

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22 <sup>6</sup> The reasonable inference from the records in this case is that the filter implanted in Ms.  
 23 Hyde did not undergo any changes from the time it left the manufacturer to the time of  
 24 implantation. Ms. Hyde's implantation [REDACTED]  
 25 [REDACTED] [SOF ¶ 152]. [REDACTED], having used Bard IVC filters for "a long time"  
 26 ostensibly would recognize any substantial change to the filter he was implanting and  
 27 would note same. [REDACTED] Dep. Tr. at 18:8-9]. Since all reasonable inferences are to be  
 28 drawn in a light most favorable to Plaintiffs in this case, it stands that the evidence  
 supports this prong of Wisconsin's defective design law. *Matsushita Elec. Indus. Co. v. Zenith Radio Corp.*, 475 U.S. 574, 587-88 (1986).

<sup>7</sup> As argued, *supra*, the rebuttable presumption should not apply to this case because the 510 (k) clearance process is not a safety statute or administrative regulation. In the

1           Wisconsin Statute § 903.01 provides the following guidance for ascertaining how  
2 the rebuttable presumption operates:

3           Except as provided by statute, a presumption recognized at common law, or  
4 created by statute, including statutory provisions that certain basic facts are  
5 prima facie evidence of other facts, imposes on the party relying on the  
6 presumption the burden of proving the basic facts, but once the basic facts  
7 are found to exist the presumption imposes on the party against whom it is  
8 directed the burden of proving that the nonexistence of the presumed fact is  
more probable than its existence. § 903.01

9           In applying this, the Court can grant summary judgment based on the presumption  
10 of non-defectiveness if (1) Bard establishes the “basic facts” triggering the presumption,  
11 namely that Bard complied with relevant standards, conditions, or specifications; and (2)  
12 Plaintiffs fail to provide sufficient evidence to create a genuine issue of material fact as to  
13 whether the non-existence of the presumption is more probable than its existence. *Hall v.*  
14 *Boston Sci. Corp.*, 2015 U.S. Dist. LEXIS 23975 (S.D. W.V. 2015) (applying Wisconsin  
15 substantive law).

16           Plaintiffs have provided the requisite evidence to create a genuine issue of material  
17 fact on whether Wisconsin’s presumption of non-defectiveness exists in this case.  
18 Specifically, Plaintiffs have listed several alleged defects of the G2/G2X filter at issue  
19 and have reinforced the allegations with an abundance of expert testimony, Bard  
20 documents and even testimony from Bard’s own corporate witnesses.

21           First, Plaintiffs’ engineering expert, Robert McMeeking, Ph.D. (“Dr.  
22 McMeeking”), offered testimonial evidence of the defective design of the G2/G2X filters,  
23 thereby creating a genuine issue of material fact. Dr. McMeeking testified that Bard  
24 failed to design the G2/G2X filters in a way that reduced the risks of tilting, perforation,  
25 migration and fracture by fatigue to the filter. [SOF ¶ 18]. Specifically, Dr. McMeeking  
26 offers testimony that Bard’s choice to design the G2/G2X without caudal anchors or

27  
28           \_\_\_\_\_ interest of efficiency, Plaintiffs incorporate the arguments from the preceding section to  
Section C.

1 other features that would minimize and/or prevent caudal migration constitutes a design  
2 defect that leads to tilt and perforation. [SOF ¶¶ 168-69]. Further, Plaintiffs' expert  
3 testified that there were a number of design choices that Bard should have considered  
4 such as using tubing material, using different arm dimensions/diameters and including a  
5 different number of arms altogether. [SOF ¶ 170]. Bard could have developed  
6 penetration limiters sooner than it ultimately did and could have redesigned the filter  
7 configuration to try and find "a better combination of phenomena that would improve the  
8 behavior of the filter in terms of the risks involved." [SOF ¶ 168]. Dr. McMeeking also  
9 provides opinions regarding the inadequate testing done to identify worst-case scenario  
10 performance of the G2/G2X filters in assessing the performance of the design and  
11 consequences of the design. [SOF ¶ 171]. According to Plaintiffs' expert testimony,  
12 **"it's the design itself that is the cause of the dangerous failures"** that took place in Ms.  
13 Hyde's case. [SOF ¶ 172].

14 Additionally, Bard's own data provides sufficient evidence to support a claim of  
15 design defect in this case. Bard's internal data regarding the G2 filter showed that it had  
16 stability problems with respect to tilt and caudal migration. [SOF ¶ 79]. Internal  
17 company documents reveal that Bard itself was concerned that there were design  
18 problems. [SOF ¶ 81]. Plaintiffs have evidence that as early as 2006 Bard acknowledged  
19 a need to redesign the G2 filter to deal with caudal migration and tilt. [SOF ¶¶ 81, 87].  
20 According to Bard's own internal analysis, its G2 device, as designed, presented an  
21 "unacceptable risk." [SOF, ¶ 87]. Bard's Quality Engineering Manager, Natalie Wong,  
22 also offered testimony supporting the design defect allegations pertaining to the G2/G2X.  
23 She testified, for example, that Bard's G2 line of filters had undesirable caudal migration  
24 resistance. [SOF ¶ 79]. Kris Kandarpa, M.D., the Medical Monitor of the EVEREST  
25 clinical trial study for Bard filters also expressed his concern about the design defects of  
26 the G2 filter family, stating that Bard should "consider a redesign" based on the number  
27 of adverse events and serious adverse events of the filters. [SOF ¶ 91].



Every iteration of Bard's IVC filters was an attempt to cure the design defects of the previous filter, with the exception of the Recovery filter. The G2 filter was designed in response to safety reports Bard received concerning the Recovery filter, including deaths and filter migrations. [SOF ¶ 63]. Bard originally intended for its SNF to be the stated predicate device for the G2 filter, but the G2 filter failed migration resistance testing when compared to the SNF. [SOF ¶ 315]. Consequently, Bard used the Recovery filter as the predicate device for its G2 filter. [SOF ¶ 65]. The G2 filter failed to improve upon the design failures of the Recovery filter, as intended.

As aforementioned, even the testimony of Bard's own corporate officers provides evidence supporting Plaintiffs' design defect claim. For example, Christopher Ganser admitted that there were design defects in the G2/GX. During his deposition, Mr. Ganser provided the following testimony:

Q. There were some defects in the design of the G2 that was leading to the problems described in Dr. Civarella's February 2006 HHE; don't you agree sir?

A. **There were issues with the design that needed to be addressed.**

[SOF ¶ 173].

Rob Carr, Bard's Product Manager, who was involved with the development of the first Bardat both NMT (pre Bard acquisition, and then at BPV retrievable filter, also provided testimonial evidence supporting Plaintiffs' design defect claims:

Q. But my question was a little more specific than that. It relates to those patients that were implanted with a filter, the filter was centrally placed in the vena cava.

A. Yes.

Q. Was there clinical data indicating that the G2 and the G2 Express were subsequently tilting after placement?

A. Yes. [SOF ¶ 174].

\*\*\*

Q. So the thought was by changing the anchor system Bard could prevent the filter from tilting, if there's a cough by the patient, if there's a Valsalva



1 maneuver, if the patient has changes in blood volume, if there is clot that  
2 forms at or around the filter. Am I correct?

3 A. Reduce the number.

4 Q. All right. So Bard was of the view that if we change the anchoring system,  
5 these known or these thought-to-be causes for tilt would be reduced?

6 A. Yes. [SOF ¶ 175].

7 Mr. Carr's testimony regarding the G2/G2X filters' propensity to tilt after being  
8 perfectly centrally placed in the vena cava and the company's endeavors to make changes  
9 to the filter to make it better and safer certainly provides enough evidence for a trier of  
10 fact to determine whether or not there was a design defect. Plaintiffs have ample  
11 evidence to create a genuine issue of material fact on whether Wisconsin's presumption  
12 of non-defectiveness exists in this case.

13 Defendants argue that Plaintiffs have not presented evidence of a reasonable  
14 alternative design and so a design defect contention is not permitted under Wisconsin  
15 law; this argument is meritless, as there is ample evidence of a reasonable alternative  
16 design. The predicate device, the SNF, is the prime example of a reasonable alternative  
17 design. Early clinical studies demonstrated that migration of the SNF device was rare (2  
18 of 258, or 0.8%) concerning patients who received filters between February 1988 and  
19 November 1990. [SOF ¶ 5]. During a migration resistance test conducted by Bard in  
20 March 2004 it was discovered that the SNF had a higher resistance to migration than the  
21 Recovery filter and competitive filters. [SOF ¶ 34-35]. By 2005 Bard's own sales force  
22 discussed internally that the SNF was the "safest filter on the market." [SOF ¶ 61]. Bard  
23 originally intended the predicate device for the G2 filter to be the SNF, but the G2 filter  
24 failed migration resistance testing when compared to the SNF. [SOF ¶¶ 78-80, 315].

25 Plaintiffs also have evidence that by November 2005, Bard was aware of the fact  
26 that the G2 filter had a perforation rate that was approximately 10 times that of the SNF.  
27 [SOF ¶¶ 77-78]. Again, Bard's own Corporate Clinical Affairs Director, Dr. Ciavarella  
28 questioned why Bard was even selling the G2 Filter that had been approved when the  
SNF "has virtually no complaints associated with it." [SOF ¶ 80]. Moreover, Dr.

1 McMeeking, Plaintiffs' engineering expert, testified that the SNF is a safer and better  
2 filter than the other Bard filters. [SOF ¶¶ 176-77].

3 Defendant argues in its motion that the SNF device cannot be the reasonable  
4 alternative design because the filter cannot be retrieved, but this argument fails. That the  
5 SNF was a permanent filter does not make it less of a reasonable alternative design for  
6 the G2/G2X filter because the G2/G2X filter, as all Bard IVC filters, was submitted for  
7 clearance by the FDA as a *permanent* device. [SOF ¶ 71]. The Recovery filter, like the  
8 SNF, is a permanent device. Defendant cannot have its cake and eat it, too, by purporting  
9 to the FDA that the predicate devices are *identical* and then arguing to the Court that the  
10 predicate devices are too *different* from the G2 due to the issue of permanence versus  
11 optional retrievability. Moreover, the Patient Brochure for the G2 device itself states,  
12 "G2 Filter System **for Permanent Placement**." [SOF ¶ 178]. Finally, testimony from  
13 Bard's Product Manager, Rob Carr again provides evidence of the G2 and G2X filters  
14 being permanent devices just as the SNF:

15  
16 Q. And during this time frame – that is the Recovery era, the G2 era and the  
17 G2 Express era – did Bard have a truly permanent filter that was  
commercially available?

18 A. **All of them are truly permanent.** [SOF ¶ 179].

19  
20 In an effort to differentiate the design of the SNF from the filter implanted in Ms.  
21 Hyde, Defendant points out that [REDACTED] testified that the ability to be retrieved was a  
22 benefit he considered in choosing Ms. Hyde's filter. [Deft's Mtn. for Summ. J. at 10:27-  
23 11:1]. [REDACTED] also testified, however, that he understood [REDACTED]

24 [REDACTED] [SOF ¶ 180]. [REDACTED]  
25 [REDACTED]  
26 [REDACTED]

27 not nullify the argument that the permanent predicate device (the SNF) was a reasonable  
28 alternative design.

1           The optional retrievability cannot be said to be “a *functional element*,” of the  
2 G2/G2X filter as Bard asserts. [Deft’s Mtn. for Summ. J. at 11 n. 6, citing *McCarthy v.*  
3 *Olin Corp.*, 119 F.3d 148, 155 (2d Cir. 1999)]. Wisconsin courts have made clear that a  
4 product is not a reasonable alternative design “when some ingredients cannot be  
5 eliminated from a design without eliminating the product itself.” *Godoy v. E.I. du Pont*  
6 *de Nemours & Co.*, 319 Wis.2d 91, 118 (Wis. 2009).. Plaintiffs can easily concede this  
7 law and still maintain that the SNF is a reasonable alternative design to the G2/G2X  
8 because the optional retrieval feature of the G2/G2X is not an ingredient that makes the  
9 product itself. As stated, the G2/G2X was just as much a permanent device as an  
10 optional device; in fact, it is the similarity to its permanent predecessors that  
11 accomplished its clearance by the FDA. Certainly, if the device can be both permanent  
12 and temporary, it cannot be argued that one or the other is the functional element or an  
13 ingredient that makes the product itself.

14           Alternatively, Plaintiffs have expert evidence to support a safer alternative design  
15 to the G2/G2X would simply be one that incorporated caudal anchors or any other feature  
16 that would prevent caudal migration. [SOF ¶¶ 168-70]. It is the filter’s propensity to tilt,  
17 leading to perforation, and vice versa that constitutes the manifestation of the device’s  
18 design defect. A design that included an anchoring system is a safer alternative design.  
19 *Id.* In fact, in 2006, a competitor’s IVC filter, the Greenfield™ Filter had already being  
20 redesigned to include an anchoring system as a safer alternative design; after learning of a  
21 30% instance of caudal migration with its Greenfield filter, Boston Scientific redesigned  
22 the filter by flipping 2 hooks, creating an anchoring system to prevent such migrations.  
23 [SOF ¶ 86]. This evidence undeniably supports Plaintiffs’ contention that there were  
24 safer alternative designs to the G2/G2X filter and that the G2/G2X filter, as designed,  
25 was defective.

**D. There Is Sufficient Evidence of Negligent Failure to Warn (Count VII)**

**i. The Learned Intermediary Doctrine Should Not Apply**

The Wisconsin Supreme Court has not had the opportunity to address or adopt the learned intermediary rule. *See Forst v. SmithKline Beecham Corp.*, 602 F.Supp. 2d 960, 968 (E.D. Wis. 2009). Bard's argument here is based on the Wisconsin Supreme Court's "likely" adoption of the defense. However, it is not as likely as Bard purports. It is true that several courts have used the rule without mentioning that the state Supreme Court has not yet expressly adopted it. *See, e.g., Menges v. Depuy Motech Inc.*, 61 F. Supp. 2d 817, 830 (N.D. Ind. 1999) (applying Wisconsin law). Just as many, if not more, cases (and cases that are more recent than those cited by Bard from 1981, 1999, and 2003) have declined to apply the learned intermediary doctrine under Wisconsin law. *See Maynard v. Abbott Labs.*, No. 12-C-0939, 2013 WL 695817, at \*5 (E.D. Wis. Feb. 26, 2013) ("Wisconsin does not apply the learned intermediary doctrine..."); *Forst*, 602 F.Supp. 2d at 968 (declining to adopt the learned intermediary rule "without some indication that the state's highest court would apply the doctrine if given the opportunity to do so." (quotation marks omitted)); *Peters v. AstraZeneca, LP*, 417 F.Supp. 2d 1051, 1054 (W.D. Wis. 2006) ("this court will not create Wisconsin law without some indication that the state's highest court would apply the doctrine . . .").

Even in states, such as California, that allow for the learned intermediary doctrine, it only applies when an adequate warning has been given. *See e.g., Carlin v. Superior Court*, 13 Cal. 4th 1104, 1117 (1996). As described, *infra*, the information Bard did provide to doctors and patients lacked specificity and left out information that prevented them from being adequate warnings under Wisconsin law. As such, [REDACTED], Ms. Hyde's implanting physician, was not "learned" and thus the learned intermediary doctrine cannot apply. Moreover, Bard concedes that they had a duty to advise both doctors *and* patients of a number of significant risks, data and conditions of their filters (like Ms. Hyde's iteration of the Bard filter), but that they kept this information concealed

1 and excluded from their IFUs. [SOF ¶¶ 115(a-h)]; *see also* Bard’s SOF ¶¶ 18-19 for G2X  
2 and Eclipse IFUs].

3 Bard concealed internal analysis concluding G2 products (like Ms. Hyde’s) caused  
4 an unreasonable, unacceptable, and undesirable risk of serious injury and death. [SOF ¶  
5 115(g)]. Mr. Ganser admits that Bard should have communicated to physicians and  
6 patients that the Recovery filter—the G2’s predicate device—does not have the ability to  
7 carry out its intended function of preventing pulmonary emboli, [SOF ¶ 115(h)]; that  
8 Bard was still trying to figure out why its devices were breaking and migrating, [SOF ¶¶  
9 115(a), (b), and (k)]; that the G2 filter was determined to have an unacceptable risk  
10 profile based on its internal risk analysis protocol [SOF ¶ 115(j)]; and that Bard should  
11 have communicated to physicians and patients that the G2/G2X filters needed to be  
12 redesigned to deal with migration, tilt, and perforation, [*id.*]. This evidence alone raises a  
13 material question of fact, thus defeating the learned intermediary doctrine as Bard admits  
14 that patients should have been advised, as well as doctors.

## 15 **ii. Bard’s Warnings Were Inadequate**

16 Wisconsin courts consistently hold that the adequacy of warnings is a question of  
17 fact for the jury to decide. *See, e.g., Gracyalny v. Westinghouse Elec. Corp.*, 723 F.2d  
18 1311, 1321 (7th Cir. 1983). In *Forst*, the Eastern District of Wisconsin held that  
19 regardless of whether the learned-intermediary doctrine applied, the plaintiff had raised a  
20 genuine issue of material fact as to whether there was an adequate warning and thus,  
21 summary judgment could not be granted as it had become a question for the jury to  
22 decide. *Forst*, 602 F.Supp. 2d at 968.

23 There have been instances where courts applying Wisconsin law have stated that  
24 “[a]lthough the adequacy of a warning often presents a factual issue for a jury, that is not  
25 always so.” *Kurer v. Parke, Davis & Co.*, 272 Wis. 2d 390, ¶ 24 (2004). However, such  
26 cases reveal that plaintiffs submitted little to no evidence regarding the adequacy of the  
27 warning such that no reasonable jury could find that the defendant was negligent in their  
28 failure to warn. *See, e.g., Alvarado v. Sersch*, 262 Wis. 2d 74 (2003). Here, Plaintiffs

1 have presented and uncovered a vast amount of evidence revealing that Bard knew the  
2 G2/G2X was defective and dangerous, and inadequately warned patients of the defects  
3 and increased risk of complications and injuries.

4 Bard was aware of problems with the G2/G2X filter, including complaints that the  
5 filters were tilting, perforating, and migrating beyond their implantation site. [SOF ¶¶ 79,  
6 81, 89, 91, 94]. As discussed above, according to Bard's own internal complaint data, the  
7 G2 was experiencing significantly higher rates of adverse events when compared to other  
8 filters. [SOF ¶¶ 79, 81-84, 89]. Bard was told by Dr. Kris Kandarpa that the G2 Family of  
9 filters was in need of redesign for patient safety and effectiveness reasons and as such,  
10 the G2X filter should not have been marketed at the time that device was implanted in  
11 Ms. Hyde's IVC. [SOF ¶¶ 91-92].

12 Bard knew, before conducting its EVEREST clinical study (commencing mid-  
13 2006) on retrievability, and after market clearance that (1) it had a problem with caudal  
14 migration and tilt that it didn't expect when they launched the G2; (2) that there were  
15 unexpected reports of caudal migration; and (3) recognized these were caused by design  
16 problems with the G2 that (4) needed to be fixed by redesign before they launched the  
17 EVEREST study. [SOF ¶¶ 79, 81]. The data coming in on the G2 filter in the first four to  
18 six months that it was on the market showed that it had stability problems with respect to  
19 tilt and caudal migration, and despite its brochure, the G2 did not take strength and  
20 stability to a new level compared to the Simon Nitinol and Recovery filters. [SOF ¶ 79].  
21 Based on internal company documents in the first three to six months that the G2 was on  
22 the market, it showed stability problems that were in fact not improved over the Simon  
23 Nitinol filter. [*Id.*]. Further, internal company documents reveal that Bard was concerned  
24 there were design problems and was redesigning the G2 to improve its safety  
25 performance and mitigate risks to patients. [SOF ¶¶ 79, 81, 91, 94]. Which, according to  
26 Bard's industry standards expert, Donna Tillman, required the device to be removed from  
27 the market until fixed. [SOF ¶ 185 ].



1 With all of this knowledge, Bard distributed materials related to the G2 IVC filter  
 2 that indicated that it had increased migration resistance, improved centering, and  
 3 enhanced fracture resistance. [SOF ¶¶ 73-74]. Bard representatives were out in the field,  
 4 representing to physicians, patients, and the public, that the G2 Filter Family had  
 5 increased migration resistance, migration resistance across an even broader range of caval  
 6 distension and higher pressures, and that the G2 Filter System was better technology than  
 7 the Recovery and the SNF. [SOF ¶ 69].

8 Bard's labeling, which includes the IFU<sup>8</sup>, that Bard has argued contained an  
 9 adequate warning, failed to warn of the **increased** risk of adverse events when compared  
 10 to the SNF and competitor filters. [SOF ¶ 72]. Instead, the G2/G2X/ IFUs included  
 11 general, broad strokes language that implied the risks of various adverse events were the  
 12 same as all other IVC Filters. [SOF ¶ 74]. This generalized warning is wholly inadequate  
 13 when compared to the knowledge that Bard had regarding the danger posed to patients,  
 14 such as Ms. Hyde, by the G2X IVC Filter.

15 A reasonable alternative warning would feature all the information that Bard knew  
 16 regarding the G2/G2X's performance and propensity to malfunction at a higher rate than  
 17 other Bard filters and competitive filters, rather than the general, inadequate warning  
 18 found in the IFU. Never did Bard share its filter concerns with the medical community or  
 19 patients, notwithstanding the fact that Bard was actively engaged in development of its  
 20 Denali filter. [SOF ¶ 116]. Rather, Bard was aware that its G2 filter, within the first 5-6  
 21 months it was on the market, was determined to have an undesirable and unacceptable  
 22 risk profile based on its internal risk analysis protocol. [SOF ¶¶ 79, 87]. Bard Peripheral  
 23 Vascular President, John McDermott testified that doctors would want to know  
 24 information and analysis the company possessed comparing the performance, risks and  
 25 safety profile of Bard's IVCs from Adverse Event Reports and data; and that doctors

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26 <sup>8</sup> Note that in their Motion, Bard included the Eclipse IFU in their argument [Bard's  
 27 Motion at Page 14]. As argued, *supra*, the filter Ms. Hyde received was a G2X.  
 28 Nonetheless, as Bard points out, the warnings at issue in the IFU were the same in the  
 G2, G2X, and Eclipse IFU [See Bard's Statement of Fact ¶¶ 18-19].



1 would want to know the information Bard had about other doctor's experiences with their  
 2 IVCs; that this data and information was important to doctors' decision-making. [SOF  
 3 ¶¶ 121-25].

4 Finally, while Bard's motion argues that Plaintiffs have failed to present a reasonable  
 5 alternative warning, Mr. Ganser and one of Bard's experts, Donna Tillman, have  
 6 admitted that design defects cannot be corrected with just a warning; defects must be  
 7 corrected by fixing and correcting the design features. [SOF ¶ 185].

8  
 9 **E. Negligent and Fraudulent Misrepresentation/Concealment Claims (Counts VII, XII, XIII) and Claim for Violation of Wisconsin Law (Count XIV)**

10 Plaintiffs agree that their claims for Negligent and Fraudulent Misrepresentation  
 11 and Concealment and Violation of Wisconsin Law all have reliance elements. However,  
 12 Plaintiffs have and can present evidence that raises a genuine issue of material fact as to  
 13 both [REDACTED] reliance (and thus, Ms. Hyde's) on Bard's misrepresentations and  
 14 omissions to the FDA.

15 At his deposition, [REDACTED] testified that he trusts the FDA more than medical  
 16 device companies and that he is comfortable using any filter that is FDA approved (which  
 17 the G2 family of filters was not).<sup>9</sup> [SOF ¶ 181]. [REDACTED] also testified that in 2011, it  
 18 was his understanding that all FDA-cleared IVC filters had the same performance and  
 19 comparable risks of complications, such as migrations and fractures. [SOF ¶ 182].  
 20 However, given the various misrepresentations and omissions Bard presented to the FDA  
 21 to get the G2 filter cleared as a permanent and then retrievable device, [REDACTED]  
 22 reliance on the FDA was essentially reliance on Bard's fraudulent misrepresentations and  
 23 concealment. As discussed above, Bard had knowledge that the G2/G2X filter performed  
 24 significantly worse than other filters. [SOF ¶¶ 79, 81, 89, 91, 94].

25  
 26  
 27 <sup>9</sup> Pending before the Court is Plaintiff's request to redepose [REDACTED] with such  
 28 evidence he was precluded from testifying to because of the instructions by [REDACTED] personal attorney.

1 Bard downplayed the nature, extent and purpose of the G2 design changes to get  
2 the G2 cleared faster and rushed to market as “substantially equivalent” to the Recovery.  
3 [SOF ¶¶ 311, 314]. Significantly, in Bard’s Traditional 510(k) for the G2 cleared on  
4 August 29, 2005, Bard refers to the design changes as “primarily dimensional;” and  
5 further misrepresents that there are “no material changes” to the Recovery predicate  
6 device. [SOF ¶¶ 312-313]. Nor did Bard give any indication that these were major design  
7 changes intended to reduce migration, fracture and tilt so prevalent in the Recovery.  
8 [SOF ¶ 314].

9 This also enabled Bard to avoid admitting to FDA that these were necessary  
10 design changes due to significant life-threatening complications reported with the  
11 predicate Recovery, which Bard left on market shelves and which remained implanted in  
12 patients without adequate warning of the risks. At this time, Bard was aware of problems  
13 with the G2 filters, including complaints that the filters were tilting, perforating, and  
14 migrating. [SOF ¶¶ 62, 77-79].

15 Bard also knew that tilting by a filter could place a patient at an increased risk of  
16 adverse events and impair the filter’s ability to prevent pulmonary embolism. [SOF ¶  
17 115(b)]. Bard withheld this information from the FDA. [SOF ¶¶ 19, 26, 88].

18 Bard’s fraud can be traced as far back as the Recovery Filter, which was the  
19 predicate device to Ms. Hyde’s G2X filter, and which was supposed to be “substantially  
20 equivalent” to the G2 Filter Family. Bard withheld pertinent safety data from the FDA  
21 regarding migration resistance. [SOF ¶¶ 19, 26, 88, 313-314].

22 At her deposition, Kay Fuller, the Bard Regulatory Affairs specifically handling  
23 the Recovery 510(k) application expressed her concerns regarding the truthfulness and  
24 accuracy of what Bard was submitting to the FDA. [SOF ¶ 187]. As Ms. Fuller testified,  
25 a Truthfulness and Accuracy Statement must be signed when she would submit a 510(k)  
26 application to the FDA. This document says that to the best of the signatory’s knowledge,  
27 the information in the 510(k) is truthful, accurate, and does not contain any material  
28 information omitted. [SOF ¶ 186]. For the Recovery 510(k) application, Ms. Fuller did

1 not sign the Truthfulness and Accuracy statement because she was concerned that Bard  
2 would not be able to address the FDA's questions and she did not believe that the  
3 company understood the failure modes, specifically fatigue resistance, to the level that  
4 they were representing to the FDA. [SOF ¶ 187]. Additionally, Ms. Fuller did not sign  
5 because she did not feel like Bard had adequately addressed the fracture failure mode and  
6 had not taken adequate corrective actions. [SOF ¶ 188]. This was the first time in Ms.  
7 Fuller's career that she was not comfortable signing the Truthfulness and Accuracy  
8 statement in an application to the FDA. [SOF ¶ 189]. Since Ms. Fuller would not sign the  
9 Truthfulness and Accuracy statement, Carol Vierling, without permission, signed Ms.  
10 Fuller's name on the 510(k) submission. [SOF ¶ 190].

11 As such, even though [REDACTED] relied on the FDA and not Bard, in relying on the  
12 FDA and assuming that all filters had similar complication rates, [REDACTED] was relying on  
13 the untruthful and misleading ways Bard got its products cleared by the FDA's 510(k)  
14 process.

15 Additionally, in his deposition, [REDACTED] states that he reviewed the G2/G2X  
16 IFU. [SOF ¶ 183]. As discussed above, the IFU for the G2 Filter family failed to warn of  
17 the increased risk of adverse events, such as migration or movement of the filter, with  
18 those filters versus the SNF and competitor filters – despite Bard knowing this was  
19 important information for a physician to be aware of. [SOF ¶ 72]. The IFUs include  
20 language implying that the risk of various adverse events associated with the Recovery  
21 and G2 Filter Family are the same as all other IVC filters. [SOF ¶ 74]. Those IFUs state  
22 that migration and movement are “known complications of vena cava filters.” [*Id.*]. This  
23 is the same language Bard conveyed to physicians in its “Dear Doctor” and “Dear  
24 Colleague” letters. There is nothing about that language that advises physicians or  
25 patients of the increased risk of those adverse events or the admitted design deficiencies  
26 with the Recovery/G2 Filter Family versus the SNF or competitor IVC filters – it simply  
27 provided a general, blanket statement, dating back decades and including filters since  
28

1 redesigned or no longer sold, about IVC filters, and it is void of any pertinent safety  
2 information specific to Bard's dangerous filters. [*Id.*].

3 Bard's former corporate executive, Mr. Ganser, provided testimony regarding all  
4 of the various things that Bard should have communicated to physicians and patients,  
5 either through the IFU or other forms of communication. [SOF ¶¶ 115(a-j)]. Of course,  
6 both [REDACTED] and Ms. Hyde relied on Bard to not conceal exactly what Mr. Ganser  
7 testified should have been revealed to both of them, and Bard not doing so did not allow  
8 both to engage in a complete, educated and informed consent process prior to the  
9 implantation of the G2X device on [REDACTED]. [SOF ¶ 150].

10 In relying on the FDA and the G2/G2X IFU, [REDACTED] was relying on Bard's  
11 countless untruthful and negligent misrepresentations and omissions. As such, Plaintiffs  
12 have presented ample factual evidence to pass the standard for summary judgment on  
13 these causes of action.

14 Bard also argues that the fraud-based causes of action fail under Wisconsin Statute  
15 100.18 because Plaintiffs have not alleged or shown evidence of pecuniary loss. Plaintiffs  
16 do not read the statute as requiring proof of pecuniary loss. Bard cites generally to the 12-  
17 part statute, but Plaintiffs assume they are referring to 100.18(11)(b), which in no way  
18 **requires** Plaintiffs to prove pecuniary loss. Section (11)(b) merely sets forth instructions  
19 **if** a person has suffered pecuniary loss. It does not require it to prove a claim for  
20 fraudulent misrepresentation. Various case law examples indicate that the elements of  
21 fraudulent misrepresentation are: (1) that the statement was false; (2) that the statement  
22 was made with the intent to defraud and for the purpose of inducing another to act upon  
23 it; and (3) reliance and action upon that representation, causing ***injury or damage***. *See,*  
24 *e.g., Green Spring Farms v. Kersten*, 136 Wis. 2d 304, n. 5 (Wis. 1987) (emphasis  
25 added). Moreover, Plaintiffs have, in fact, suffered pecuniary loss as they had to pay for  
26 Ms. Hyde's [REDACTED]  
27 [REDACTED] [SOF ¶ 35].  
28

1           **IV. CONCLUSION**

2           In conclusion, under Wisconsin's choice-of-law rules, Nevada substantive law  
3 should apply to the *Hyde* case as it is the location of injury and current domicile of the  
4 Plaintiffs.

5           With regard to Plaintiffs' Strict Liability – Failure-to-Warn Claim (Count II),  
6 Plaintiffs have shown that the rebuttable presumption (the “government rules defense”)  
7 should not apply as the G2/G2X were cleared via the FDA's 510(k) process, which has  
8 no bearing on a products safety or efficacy. Moreover, even if the presumption were to  
9 apply, Plaintiffs have presented enough evidence to rebut that presumption, as Bard's  
10 products were defective and failed to give an adequate warning to doctors or the public.  
11 Count II is not precluded under Wisconsin's bar of strict liability claims for damages  
12 caused by known, inherent characteristics of the product as the G2/G2X filters caused  
13 adverse events at rates well beyond competitive filters. A reasonable alternative warning  
14 would have included information sufficient for doctors and patients to make a fully  
15 informed decision on which filter to use, given the information that Bard concealed.

16           Bard's Motion for Summary Judgment as to Plaintiffs' Strict Liability – Design  
17 Defect (Count III) should also not be granted, as Plaintiffs have presented numerous  
18 genuine issues of material fact showing that Bard's G2 and G2X filters were defectively  
19 designed. A reasonable alternative design existed in the Simon Nitinol Filter, which  
20 experienced next to no adverse events in its long history on the market. Additionally,  
21 Plaintiffs have expert testimony that shows a safer alternative design would have been a  
22 filter with caudal anchors, such as the Greenfield™ Filter. Wisconsin's bar on strict  
23 liability claims for damages caused by known, inherent characteristics of the products  
24 and government rules defense do not apply to Plaintiffs' Strict Liability - Design Defect  
25 claim for the same reason they do not apply to the Strict Liability - Failure-to-Warn  
26 claim, as stated above.

27           Plaintiffs' Negligent Failure-to-Warn claims should also survive summary  
28 judgment. As argued, there are multiple instances of testimony from Bard's own

1 witnesses and experts that point out how inadequate Bard's warnings were. The  
2 inadequacy of Bard's class warning featured in the G2/G2X IFU defeat any attempted  
3 use of the learned-intermediary doctrine, which consequently, has not even been  
4 officially adopted in Wisconsin.

5 Finally, Plaintiffs' various misrepresentation and concealment claims (Counts  
6 VIII, XII, XIII, and XIV) should not be dismissed as Plaintiffs have shown evidence that  
7 [REDACTED] relied on the FDA from which Bard concealed information during the 510(k)  
8 approval process and relied on the G2/G2X IFU, which again, featured an inadequate and  
9 misleading warning regarding the safety of Bard's filters. Moreover, [REDACTED] and Ms.  
10 Hyde relied on Bard to not conceal the long list of facts and information that Mr. Ganser  
11 admitted Bard concealed and should have warned doctors and patients about.

12  
13 As such, Bard's Motion for Summary Judgment should be denied in its entirety.  
14

15  
16 RESPECTFULLY SUBMITTED this 29th day of October, 2017.

17 GALLAGHER & KENNEDY, P.A.

18  
19 By: /s/ Mark S. O'Connor  
20 Mark S. O'Connor (AZ Bar No. 011029)  
21 2575 East Camelback Road  
Phoenix, Arizona 85016-9225

22 LOPEZ McHUGH LLP  
23 Ramon Rossi Lopez (CA Bar No. 86361)  
24 (admitted *pro hac vice*)  
25 100 Bayview Circle, Suite 5600  
Newport Beach, California 92660

26 *Co-Lead/Liaison Counsel for Plaintiffs*  
27  
28